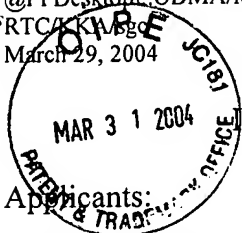


RTCA/KWSg  
March 29, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gwo S. Swei, Damien C. Nevoret, and Patrick Yang  
Application No.: 10/688,833 Group: 1755  
Filed: October 17, 2003 Examiner: Not yet assigned  
Confirmation No.: 9438  
For: Antiloading Compositions and Methods of Selecting Same

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
3-29-04	<i>Sharon G. Carlisle</i>
Date	Signature
Sharon G. Carlisle	
Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of cited references AL, AM, AN, and AO are enclosed.
- ☒ Since this application was filed after June 30, 2003, copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.
  - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
  - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]  
\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

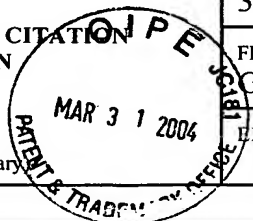
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Robert T. Conway  
Robert T. Conway  
Registration No.: 33,859  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Dated: March 29, 2004  
Concord, Massachusetts 01742-9133

PTO-1449 REPRODUCED		ATTORNEY DOCKET NO. 3090.1010.000 (D-4164)		APPLICATION NO. 10/688,833	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>March 29, 2004</b> (Use several sheets if necessary)			FIRST NAMED INVENTOR Gwo S. Swei		FILING DATE October 17, 2003
			EXAMINER		CONFIRMATION NO.



U.S. PATENT DOCUMENTS				
EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AA	6,312,315 B1	11-06-2001	Gagliardi
	AB	6,299,520 B1	10-09-2001	Cheyne, III
	AC	5,954,844	09-21-1999	Law, <i>et al.</i>
	AD	5,704,952	01-06-1998	Law, <i>et al.</i>
	AE	5,667,542	09-16-1997	Law, <i>et al.</i>
	AF	5,578,097	11-26-1996	Gaeta, <i>et al.</i>
	AG	5,328,716	07-12-1994	Buchanan
	AH	5,213,589	05-25-1993	Ronning, <i>et al.</i>
	AI	5,164,265	11-17-1992	Stubbs
	AJ	5,152,809	10-06-1992	Mattesky
	AK	5,061,294	10-29-1991	Harmer, <i>et al.</i>
	AA2	5,039,311	08-13-1991	Bloecher
	AB2	4,988,554	01-29-1991	Peterson, <i>et al.</i>
	AC2	4,973,338	11-27-1990	Gaeta, <i>et al.</i>
	AD2	4,784,671	11-15-1988	Elbel
	AE2	4,609,380	09-02-1986	Barnett, <i>et al.</i>
	AF2	4,396,403	08-02-1983	Ibrahim
	AG2	3,619,150	11-09-1971	Rinker, <i>et al.</i>
	AH2	2,893,854	07-07-1959	Rinker, <i>et al.</i>
	AI2	2,768,886	10-30-1956	Twombly
	AJ2			
	AK2			
	AA3			
	AB3			
	AC3			

EXAMINER	DATE CONSIDERED
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PTO-1449 REPRODUCED  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>March 29, 2004</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO. <b>3090.1010.000 (D-4164)</b>	APPLICATION NO. <b>10/688,833</b>	
	FIRST NAMED INVENTOR <b>Gwo S. Swei</b>		FILING DATE <b>October 17, 2003</b>
	EXAMINER	CONFIRMATION NO.	GROUP

FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES NO	
	AL	WO 00/00327 A1	01-06-2000	3M Innovative Properties Company		
	AM	WO 97/42007 A1	11-13-1997	Minnesota Mining & Manufacturing Co.		
	AN	WO 97/42006 A1	11-13-1997	Minnesota Mining & Manufacturing Co.		
	AO	EPO 0 398 580 B1	09-28-1994	Minnesota Mining & Manufacturing Co.		
	AP					
	AQ					
	AL2					
	AM2					
	AN2					
	AO2					
	AP2					
	AQ2					
	AL3					
	AM3					
	AN3					
	AQ3					
	AP3					
	AQ3					
	AL4					
	AM4					
	AN4					
	AO4					

EXAMINER	DATE CONSIDERED
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